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# **RESEARCH ARTICLE**

# Hemithorax Opacification & Large Volume Thoracentesis: A

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**Novel Predictor of Re-Expansion Pulmonary Edema** 

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# Abstract

Introduction: Symptomatic pleural effusions are a common reason for hospital visits. Guidelines recommend draining < 1.5 L with large-volume thoracentesis (LVT) to avoid re-expansion pulmonary edema (REPE). Hemithorax opacification by pleural fluid on chest X-ray (CXR) has not been studied as predictor of REPE. We evaluated the incidence of REPE using hemithorax opacification on CXR.

Methods: We reviewed LVTs with pre- and post-procedural chest CXR from 2008 to 2019 at a tertiary urban hospital. Image J software was used to calculate the percentage of hemithorax opacification before and after drainage. CXRs were independently reviewed by a radiologist and interventional pulmonologist-both blinded to clinical data. Clinical REPE was separately according to clinical signs and symptoms.

Results: 198 LVTs with CXRs were reviewed. Median volume drained was 1.8 L (IQR 1.6 L-2.0 L) and median percent opacification drained was 26% (IQR 13-40%): 11.1% of cases drained > 50% opacification, and 17.7% had complete drainage. 177 post-procedural CXRs were amenable for evaluation and 20.3% had evidence of radiographic REPE. In those with radiographic REPE, 2.5% had clinical REPE. Multivariate regression analysis demonstrated that draining > 50% of the opacified hemithorax was associated with radiographic REPE (OR 3.51, 95% CI 1.09-11.26, p =0.035) and clinical REPE (OR 11.22, 95% CI 1.67-75.16, p = 0.013).

Conclusion: Radiographic REPE was relatively common, but often without symptoms. The reduction of > 50% of hemithorax opacification is a novel predictor of REPE. We suggest individualizing limitations of fluid removal according to hemithorax opacification and clinical scenario rather than an arbitrary cutoff of 1.5 L.

### **Keywords**

Large-volume thoracentesis, Pleural effusion, Re-expansion pulmonary edema, Bedside procedures

# Introduction

Symptomatic large pleural effusions are frequent among patients presenting to the emergency department, often necessitating inpatient admission. The theoretical benefits of complete drainage of pleural effusions include symptomatic relief, avoidance of multiple procedures, achieving complete lung expansion, and preventing residual pleural space disease [1].

Re-expansion pulmonary edema (REPE) is a welldescribed but uncommon complication seen following expansion of a compressed lung after a large amount of pleural fluid is rapidly evacuated [2,3]. It is hypothesized that REPE develops after a rapid change in transpleural pressure with subsequent engorgement of



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Table 1: Patient characteristics on admission.

Patient characteristics	Baseline results			
Age (years)	56 (48-63)			
Female	30.8%			
Race				
African American	40.4%			
Hispanic	32.8%			
Caucasian	20.7%			
Other	6.1%			
Smoking history	53.0%			
Effusion characteristics				
Massive	19.7%			
Malignant	64.6%			
Exudative	72.7%			
Right-sided	67.7%			
Initial diagnosis	35.9%			
Duration (weeks)	4 (2-8)			
Duration > 4 weeks	70.2%			
Etiologies of effusion	<u></u>			
Renal disease	4.55%			
Hepatic hydrothorax	14.14%			
Heart failure	8.08%			
Malignancy	64.65%			
Infection	4.55%			
Pancreatitis	2.02%			
Other	2.02%			
Comorbidities				
Anemia (Hgb < 10 g/dL)	27.8%			
Atrial fibrillation	9.1%			
Chronic renal disease	15.7%			
Cirrhosis	18.7%			
Coronary disease	11.6%			
Diabetes	26.3%			
Heart failure	13.6%			
Hypertension	49.0%			
Hypoalbuminemia (Albumin < 2.5 g/dL)	18.7%			
Obesity	22.2%			

intrapulmonary lymphatic and interstitial fluid. The duration of lung compression, the rate of expansion, and the pressure gradient have been associated with REPE development [4,5]. REPE can be detected radiologically in the absence of symptoms (i.e., radiographic REPE), with an incidence ranging from < 5% to 14% [3,6]. When associated with clinical symptoms (e.g., cough, new or worsening hypoxia or hypotension, or chest pains), then it is characterized as clinical REPE. Although clinical REPE occurs after < 1% of thoracentesis, the mortality rate has been reported as high as 20% [7-9].

In order to avoid REPE, guidelines recommend that fluid drainage be limited to removal of < 1.5 L during a

# **Materials and Methods**

We retrospectively reviewed patients who underwent thoracentesis performed by a dedicated bedside procedure service at our tertiary-care, urban medical center from 2008 to 2019. Institutional review board approval was obtained, and informed consent was waived (Study 19-208). Patients' demographics, comorbidities, laboratory studies, and outcomes were reviewed (Table 1). We included patients who had  $\geq 1.5$ L fluid removed with LVT and also had CXR before and within 36 hours after LVT. The procedure service was staffed by procedure-trained hospitalists. Procedures were performed under static ultrasound guidance, but pleural manometry was not routinely performed. Fluid was either drained to gravity or by manual evacuation. The volume of fluid and the etiologies of the effusions were catalogued. Any prior imaging that was available was reviewed to determine the duration of the effusion prior to index drainage. Image J software (National Institute of Health, Bethesda, MD) was used to calculate the percent opacification of the hemithorax on CXR before and after LVT (Figure 1 and Table 2). A massive effusion was defined as > 80% initial opacification of the hemithorax prior to LVT, and complete drainage was defined by < 10% residual opacification after LVT.

Pre and post-procedural CXRs were independently reviewed by a board-certified radiologist and a boardcertified interventional pulmonologist, both of whom were blinded to clinical data. Radiographic REPE was defined as the presence of alveolar opacities on CXR consistent with pulmonary edema in lobes that had previously been opacified by the effusion. The radiologist was first asked to determine whether a meaningful assessment of REPE could be made based on the quality of the CXR. For those CXRs deemed reasonable for assessment, the image reviewers each assigned a numerical score regarding the presence of REPE: 0 for no evidence of REPE; 1 for possible REPE; and 2 for likely REPE. A total score for each CXR was calculated, and those with a score  $\geq$  3 were considered to have radiographic REPE. Clinical REPE was defined as a significant post-procedural clinical event that occurred in the presence of radiographic REPE. Significant clinical events included development of acute respiratory symptoms (cough, dyspnea, or chest pain), newonset hypoxia requiring treatment, or hemodynamic instability. Pneumothoraces were catalogued, and a pneumothorax ex vacuo was defined as the presence of dependent or basilar air in the pleura after LVT



**Figure 1:** Quantifying opacification of hemithorax. Image J software was used to determine the percentage of the hemithorax that was opacified by pleural effusion. Panel A shows the first measurement of the entire hemithorax. Panel B shows the second measurement of the effusion. Percent opacification was calculated by dividing the second measurement by the first.

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Radiology data	Results			
Pre-procedure opacification (%)	55 (40-74)			
Post-procedure opacification (%)	25 (14-45)			
Drainage (%)	26 (13-40)			
Drained > 50%	11.1%			
Complete drainage	17.7%			
REPE Scores				
CXR could be assessed	89.4%			
Score	2 (0-2)			
Score ≥ 3	20.3%			

CXR: Chest X-ray

with thickening of the visceral pleural to suggest an underlying trapped lung.

# Results

From 2008 to 2019, we identified 198 LVT that had CXRs following the procedure. Patients had a median (interquartile range [IQR]) age of 56 years (IQR 48-63), and 61 (30.8%) were women. Eighty (40.4%) were African American, 65 (32.8%) Hispanic, and 41 (20.7%) Caucasian. The majority (67.7%) were right-sided effusions, and 72.7% were exudative. The most common etiology was malignancy (64.6%), followed by hepatic hydrothorax (14.1%), and heart failure (8.1%). Thirty-nine (19.7%) were considered massive effusions, and median volume drained of 1.8L (IQR 1.60-2.0L). Seventy-one (35.9%) presented with no prior imaging, thus the duration of those effusions could not be

Table 3: Study outcomes.

Outcomes	All patients	Patients with radiographic REPE
Any clinical event	10.1%	2.5%
New symptoms	6.6%	2.0%
Hypotension	2.5%	0.5%
Hypoxia	5.6%	1.5%
ICU admission	3.5%	1.0%
Shock	1.5%	0.5%
Non-invasive ventilation	1.0%	0.0%
Intubation	0.5%	0.0%
Death	2.5%	1.0%

ICU: Intensive Care Unit

determined. The remaining cases had a median effusion duration of 4 weeks (IQR 2-8). The most common comorbidities included hypertension (49.0%), anemia (27.8%), diabetes (26.3%), and obesity (22.2%). Over half (53.0%) had a history of smoking.

Median percent opacification of the hemithorax prior to LVT was 55% (IQR 40-74%), and median opacification after LVT was 25% (IQR 14-45%) (Table 2). Median percent drained was 26% (IQR 13-40%); 11.1% of cases drained > 50% of the opacification, and 17.7% of cases had complete drainage. The radiologist identified 177 (89.4%) post-procedural CXRs that could be assessed for REPE. The most common reason for excluding a CXR was a significant amount of post-procedural pleural fluid that appeared similar to the pre-procedural CXR. Amongst those 21 excluded CXRs, the median post-procedural opacification was 83% (IQR 64-100%). For the 177 included CXRs, the median summation score was 2 (IQR 0-2), and 36 (20.3%) CXRs had a score  $\geq$  3 consistent with radiographic REPE. There was 44.1% overall agreement between the reviewers for the radiographic interpretation for REPE (kappa 0.18, p < 0.001).

Twenty patients (10.1%) had new clinical symptoms after LVT, but only 2.5% had both radiographic and clinical evidence of REPE (Table 3). The most common events were new respiratory symptoms (2.0%), hypoxia (1.5%), and hypotension (0.5%). No patients with clinical REPE required non-invasive ventilation or intubation. Two patients with clinical REPE (1.0%) died during their hospitalizations, but deaths were not immediately related to REPE. Twenty-one (10.6%) were found to have pneumothoraces after LVT, with the majority of these (n = 17) meeting criteria for *pneumothoraces ex vacuo*.

There was an association between the percent change in opacification of the hemithorax and both radiographic and clinical REPE. The percent change in opacification was higher for cases with radiographic REPE (40%, IQR 31-52%) compared to those without radiographic REPE (24%, 12-38%) (p < 0.001). The percent change in opacification was also higher for cases with clinical REPE (51%, IQR 31-54%) compared to those without clinical REPE (25%, 12-40%) (p = 0.047). Multivariate

**Table 4:** Univariate and multivariate analysis of radiographic REPE.

Patient characteristicsIncidence REPERadiographic REPEOR95% CIP-valueOR95% CIP-valueP-valueAge > 65 years20.2%25.0%1.410.593.340.43800100 <td< th=""></td<>
Age > 65 years20.2%25.0%1.410.593.340.438Image of the state of the st
Female30.8%20.8%1.040.472.300.928Image: Constraint of the straint of the stra
Race         African American       40.4%       23.3%       1.00       1.00       1.00        Image: Colspan=10 and Colsp
African American       40.4%       23.3%       1.00       1.00       1.00        Image: Constraint of the state
Hispanic       32.8%       21.7%       0.91       0.40       2.07       0.824       Image: Constraint of the constra
Caucasian       20.7%       15.2%       0.59       0.20       1.76       0.342       Image: Caucasian       Image: Cauc
Other         6.1%         9.1%         0.33         0.04         2.76         0.306         Image: Constraint of the state of
Smoking history         53.0%         22.3%         1.30         0.62         2.73         0.482         Image: Control of the state of the
Effusion characteristics
Massive         19.7%         45.0%         3.94         1.49         10.43 <b>0.006</b> 2.12         0.65         6.94         0.215
Malignant 64.6% 16.8% 0.56 0.27 1.17 0.124
Exudative 72.7% 18.0% 0.61 0.28 1.32 0.208
Right-sided 67.7% 21.7% 1.30 0.58 2.92 0.525
Initial diagnosis 35.9% 20.6% 1.03 0.48 2.21 0.942
Duration > 4 weeks 70.2% 21.1% 1.18 0.52 2.66 0.690
Drainage data
Drained > 50% 11.1% 45.5% 4.13 1.62 10.57 <b>0.003</b> 3.51 1.09 11.26 <b>0.035</b>
Complete drainage 17.7% 22.9% 1.21 0.50 2.94 0.680
Pneumothorax 10.6% 25.0% 1.35 0.46 4.01 0.584
Pneumothorax ex vacuo         8.6%         23.5%         1.23         0.38         4.03         0.731
Comorbidities
Anemia 27.8% 23.1% 1.20 0.55 2.63 0.649
Atrial fibrillation 9.1% 23.5% 1.23 0.38 4.03 0.731
Chronic renal disease 15.7% 25.0% 1.38 0.54 3.55 0.506
Cirrhosis 18.7% 19.4% 0.93 0.35 2.47 0.881
Coronary disease 11.6% 31.8% 2.03 0.76 5.42 0.159
Diabetes 26.3% 26.5% 1.65 0.76 3.59 0.208
Heart failure         13.6%         26.9%         1.55         0.60         4.03         0.369
Hypertension         49.0%         100.0%         2.19         1.03         4.67         0.042         2.60         1.15         5.85         0.021
Hypoalbuminemia 18.7% 23.5% 1.21 0.48 3.00 0.688
Obesity 22.2% 18.9% 1.06 0.41 2.72 0.903

REPE: Re-expansion Pulmonary Edema; OR: Odds Ratio; CI: Confidence Interval

regression analysis demonstrated that draining > 50% of the opacified hemithorax was associated with radiographic (OR 3.51, 95% CI 1.09-11.26, p = 0.035) and clinical REPE (OR 11.22, 95% CI 1.67-75.16, p = 0.013) (Table 4 and Table 5). Hypertension was also associated with radiographic (OR 2.60, 95% CI 1.15-5.85, p = 0.021) but not clinical REPE. *Pneumothorax ex vacuo* was associated with clinical REPE in univariate modeling (OR 7.91, 95% CI 1.23-51.08, p = 0.030) but did not meet statistical significance in the multivariate model (OR 5.55, 95% CI 0.75-40.81, p = 0.092). There were no other predictors of radiographic or clinical REPE.

# Discussion

As previously described, the incidence of radiographic REPE in our cohort was more common than clinical

REPE (20.3% vs. 2.5%), and the majority of REPE cases were mild and did not result in morbidity or mortality. Guidelines suggest limiting LVT drainage to 1.5 L in order to avoid REPE, especially in manometry is not used, but our analysis is the first to consider percent opacification of hemithorax as part of REPE assessment.

Reports about REPE were initially described after a negative pressure of -20 mmHg was applied through a chest tube [5]. Concern that REPE was related to fluid volume removal was first described by Light, et al. who suggested that volume be limited to 1.0 L and that REPE may not be associated with pressure changes [11]. However, Villena, et al. found that larger fluid volume could be removed without REPE if fluid drainage was stopped at pleural pressure of -20 cmH<sub>2</sub>O [12].

	Univariate analysis				Multivariate analysis					
Patient characteristics	Incidence	Clinical REPE	OR	95% CI		p-value	OR	95% CI		p-value
Age > 65 years	20.2%	2.5%	0.99	0.11 9.08		0.991				
Female	30.8%	0.0%								
Race							1			1
African American	40.4%	2.5%	1.00	1.00	1.00					
Hispanic	32.8%	1.5%	0.61	0.05	6.87	0.689				
Caucasian	20.7%	2.4%	0.98	0.09	11.08	0.984				
Other	6.1%	8.3%	3.55	0.30	42.42	0.318				
Smoking history	53.0%	2.9%	1.34	0.22	8.19	0.753				
Effusion characteristics						!				
Massive	19.7%	5.1%	2.81	0.45	17.43	0.267				
Malignant	64.6%	2.3%	0.82	0.13	5.00	0.826				
Exudative	72.7%	2.1%	0.55	0.09	3.40	0.523				
Right-sided	67.7%	3.0%	1.94	0.21	17.70	0.558				
Initial diagnosis	35.9%	2.8%	1.20	0.20	7.34	0.845				
Duration > 4 weeks	70.2%	2.2%	0.64	0.10	3.93	0.630				
Drainage data										
Drained > 50%	11.1%	13.6%	13.74	2.16	87.43	0.006	11.22	1.67	75.16	0.013
Complete drainage	17.7%	5.7%	3.23	0.52	20.11	0.208				
Pneumothorax	10.6%	14.3%	14.58	2.28	93.10	0.005				
Pneumothorax ex vacuo	8.6%	11.8%	7.91	1.23	51.08	0.030	5.55	0.75	40.81	0.092
Comorbidities										
Anemia	27.8%	5.5%	3.92	0.64	24.15	0.140				
Atrial fibrillation	9.1%	0.0%								
Chronic renal disease	15.7%	3.2%	1.36	0.15	12.58	0.787				
Cirrhosis	18.7%	0.0%								
Coronary disease	11.6%	4.3%	1.94	0.21	18.18	0.560				
Diabetes	26.3%	1.9%	0.70	0.08	6.37	0.748				
Heart failure	13.6%	7.4%	4.48	0.71	28.15	0.110				
Hypertension	49.0%	2.1%	0.69	0.11	4.21	0.685				
Hypoalbuminemia	18.7%	2.7%	1.22	0.12	12.11	0.864				
Obesity	22.2%	4.5%	6.29	0.56	71.07	0.137				

**Table 5:** Univariate and multivariate analysis of clinical REPE.

REPE: Re-expansion Pulmonary Edema; OR: Odds Ratio; CI: Confidence Interval

Association between intrapleural pressures and symptoms was further assessed in a series of 169 patients, which revealed that a vague chest discomfort was associated with reduced pleural pressures and subsequently a sign to terminate the thoracentesis [13]. Based on the findings described, many medical centers have obtained safe results by interrupting the procedure when intrapleural pressure falls below -20 cmH<sub>2</sub>O, patient develops chest discomfort, or there is no more fluid to drain [9,14]. However, a recent randomized clinical trial showed that routine pleural manometry did not prevent serious complications or provide clinical benefits and therefore did not recommend routine use of manometry [15]. Based on our study, a drainage > 50% of the opacified hemithorax seems to be associated with radiographic REPE and predicted development of clinical REPE. These findings might be related to rapid change in intrapleural pressures rather than the amount of volume removed.

We believe that radiographic REPE may be more common than our findings suggest since post-procedure CXRs are not always required and when obtained may be obscured by significant residual fluid which impairs REPE assessment [16,17]. The pre-procedural median opacification was 55%, but only the minority of patients had significant pleural drainage: 11.1% had a decrease > 50%; and only 17.7% had complete drainage. Although it was not described in the procedural report, we suspect that drainage was frequently terminated in most cases due to symptom onset with concern for REPE development or pneumothorax.

There are certain limitations to note on the findings from this retrospective study. First, many patients included did not have post-procedure imaging; therefore, incidence of radiographic REPE may be higher than 20.3%. As described above, since the procedures were not done by the Interventional Pulmonology service, rate of complications may be higher compared to previous studies where procedures were done by an interventional pulmonologist. Also, a minority of patients had available imaging studies before presentation to document chronicity of effusion. Finally, since this was a retrospective review standardized clinical assessment during thoracentesis was not conducted which makes it difficult to determine why procedures were prematurely stopped.

### Conclusion

In conclusion, even though radiographic REPE may represent a relatively common complication after LVT, the vast majority of cases are not clinically evident and therefore treatment is not necessary. In most cases of clinical REPE, symptoms require supportive management with the development of significant morbidity and mortality being rarely reported. We believe that drainage of pleural effusions should be continued to remove as much fluid as tolerated and there is no evidence of markedly decreased in intrapleural pressures determined by either manometry or patient symptoms. In patients who undergo LVT, we also suggest monitoring for respiratory symptoms in those who have a reduction in hemithorax opacification greater than 50% given possible risk for REPE. Limitations of fluid removal should be individualized according to hemithorax opacification rather than limited by an arbitrary volume cutoff.

#### **Disclosures**

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SAP, VEP & SBS developed the study design. VEP, SXC, AB& SS contributed in the data collection. SBS, CJW & VEP provided statistical analysis. SAP, VEP, SBS, & SS contributed to the manuscript writing. All authors have seen and approved the final manuscript for submission.

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